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PTO/SB/08a (05-03)

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		<b>Complete If Known</b>			
		Application Number	10/817,053		
		Filing Date	April 2, 2004		
		First Named Inventor	MCDONALD, DONALD M.		
		Art Unit	1614		
		Examiner Name	To Be Assigned		
Sheet	1	of	1	Attorney Docket Number	UCSF-077CON7

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
		US- 5,556,878		09-17-1996	The Upjohn Company	
		US- 5,965,739		10-12-1999	Pharmacia & Upjohn Company	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)					

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>		
				Application Number	To Be Assigned	
				Filing Date	November 3, 2003	
				First Named Inventor	McDonald	
				Group Art Unit	To Be Assigned	
Examiner Name	To Be Assigned					
Attorney Docket Number	UCSF-077CON6					
Sheet	1	of	1			

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
lu		6,426,086		Papahadjopoulos	July 30, 2002	
lu		6,271,206		Pillal	August 7, 2001	

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/004,286
				Filing Date	November 14, 2001
				First Named Inventor	MCDONALD, DONALD M.
				Group Art Unit	1615
Examiner Name	To be assigned				
Sheet	1	of	1	Attorney Docket Number	UCSF-077CON2

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Documents		Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, columns, lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Documents			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>3</sup>
		Office <sup>4</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
lu		WO	93/18751	A	Rahman <i>et al.</i>	09-30-1993		
lu		WO	95/29242	A	O'Reilly <i>et al.</i>	11-02-1995		
lu		EP	0819758	A	Mixson	01-21-1998		

OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS			
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
lu		CAMPBELL, <i>et al.</i> , "Cationic liposome mediated drug delivery", <i>Biophys. J.</i> , (1997) Vol. 72(2): A303 (WP313).	
lu		McLEAN, <i>et al.</i> , "Organ-specific endothelial cell uptake of cationic liposome-DNA complexes in mice", <i>Amer. J. Physiology</i> , Vol. 1, part 2, 273:H387-H404	

Examiner Signature	<i>K. K.</i>	Date Considered	11/16/01
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<sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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SUBSTITUTE FORM PTO-1449 (MODIFIED)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)  (37 CFR 1.98(b))	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. UCSF077CON2	SERIAL NO. 09/430,065
	APPLICANT: D. McDonald et al.		
	FILING DATE November 14, 2001	GROUP 1614	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS
<i>h</i>	AA	4	3	9	4	4	4	8	07/19/83	Szoka, Jr. et al.	—	—
	AB	4	8	9	7	3	5	5	01/30/90	Eppstein et al.		
	AC	5	0	9	2	8	8	5	03/03/92	Yamada et al.		
	AD	5	1	9	2	7	4	4	03/09/93	Bouck et al.		
	AE	5	2	0	2	3	5	2	04/13/93	Okada et al.		
<i>h</i>	AF	5	3	2	8	4	7	0	07/12/94	Nabel et al.	—	—

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

<i>h</i>	AG	WO 91/06309	05/16/91	PCT	—	—		
	AH	WO 93/12240	06/24/93	PCT	—	—		
	AI	WO 93/12756	07/08/93	PCT	—	—		
	AJ	WO 93/24640	12/09/93	PCT	—	—		
	AK	WO 93/25673	12/23/93	PCT	—	—		
<i>h</i>	AL	WO 95/25543	09/28/95	PCT	—	—		

## OTHER DOCUMENTS (including Author, Title, Date, Place of Publication).

<i>h</i>	AM	Brigham, K.L., et al., (1989) "Expression of a Prokaryotic Gene in Cultured Lung Endothelial Cells after Lipofection with a Plasmid Vector," Am. J. Respir. Cell Mol. Biol. 1: 95-100
	AN	Brigham, K.L., et al. (1989) "Rapid Communication: In vivo Transfection of Murine Lungs with a Functioning Prokaryotic Gene Using a Liposome Vehicle," from the Center for Lung Research, Dept. of Med. Path. and Molecular Phys. and Biophys., Vanderbilt U. School of Med., Nashville, Tenn. pp. 278-284
	AO	Burrows, F.J., et al., (1993) "Eradication of large solid tumors in mice with an immunotoxin directed against tumor vasculature," Proc. Natl. Acad. Sci. USA 90: 8996-9000
	AP	Felgner, P.L., et al., (1991) "Gene Therapeutics" Nature 349: 351-352
	AQ	Felgner, P.L., et al., (1987) "Lipofection: A highly efficient, lipid-mediated DNA-transfection procedure," Proc. Natl. Acad. Sci. USA 84: 7413-7417
	AR	Folkman, J., et al., (1989) "Induction of angiogenesis during the transition from hyperplasia to neoplasia," Nature 339: 58-61
	AS	Folkman, J., et al., (1987) "Angiogenic Factors," Science 235:442-447
<i>h</i>	BA	Gao, X., et al., (1995) "Cationic liposome-mediated gene transfer," Gene Therapy 2: 710-722

EXAMINER

DATE CONSIDERED

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SUBSTITUTE FORM PTO-1449 (MODIFIED)  INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)  (37 CFR 1.98(b))	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. UCSF077CON2	SERIAL NO. 09/430,065
	APPLICANT: D. McDonald et al.			
	FILING DATE November 14, 2001		GROUP 1614	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	PATENT NUMBER	ISSUE DATE	PATENTEE	CLASS	SUBCLASS

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION


## OTHER DOCUMENTS (including Author, Title, Date, Place of Publication).

BB	Hanahan, D., et al., (1996) "Patterns and Emerging Mechanisms of the Angiogenic Switch during Tumorigenesis," Cell 86: 353-364
BC	Huang, X., et al., (1997) "Tumor Infarction in Mice by Antibody-Directed Targeting of Tissue Factor to Tumor Vasculature," Science 275: 547-550
BD	Morishita, K., et al., (1995) "A novel promoter for vascular endothelial growth factor receptor (flt-1) that confers endothelial-specific gene expression," J. Biol. Chem. 270: 27948-27953
BE	Xu, M., et al., "Parenteral Gene Therapy with p53 Inhibits Human Breast Tumors in Vivo Through a Bystander Mechanism Without Evidence of Toxicity," Human Gene Therapy 8:177-185.

EXAMINER <u>Krhu</u>	DATE CONSIDERED <u>11/16/08</u>
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EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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